



RESERVE BANK OF FIJI

LICENCE RENEWAL CHECKLIST

License Category:

INVESTMENT ADVISER - PRINCIPAL

FORMS & FEES

- Complete application form:
 - Form B5
- Payment of fees:
 - FJ \$395.50 (Plus VAT)

OTHER REQUIREMENTS

1] Maintains a paid-up capital of at least \$30,000 or higher amount (*attach documentary evidence*).

2] Has staff (inclusive of a qualified CEO) and a director who are capable of providing professional services to clients.

3] Has premises suitably located and equipped to provide satisfactory service to clients.

4] Has as shareholders and members of its board of directors such persons as the Reserve Bank considers fit and proper.

5] List of all trainings including in-house trainings provided to licensed representatives during the licensed period.

6] Complaints, inquiry, and investigations lodged against the applicant during the licence period.

7] Conditions placed upon the applicant since the last licence assessment and from on-site inspection recommendations. RBF will assess if these have been met.