

RESERVE BANK OF FIJI



BROKER/DEALER REPRESENTATIVE LICENCE

CHECKLIST

REQUIREMENTS	COMMENTS
1. Application Form and Fee: <ul style="list-style-type: none"> Complete application form: <ul style="list-style-type: none"> ➤ Form B5 Payment of application fee: <ul style="list-style-type: none"> ➤ FJ \$108.50 (Plus VAT) 	<p>Application fee must be submitted with application form and all other required documents before the application can be assessed.</p>
2. Professional Qualification and Experience: <ul style="list-style-type: none"> Must have appropriate qualifications and experience. 	<p>RBF minimum requirements are:</p> <ul style="list-style-type: none"> Applicant must hold a diploma or certificate or higher qualification in economics, accounting or business related subjects from a tertiary institution approved by RBF; or Applicant has successfully completed a program of study in securities approved by RBF; or Applicant has been dealing in securities or has been engaged in giving advice on securities for a continuous period of at least two years. Submit the following documents: <ol style="list-style-type: none"> Certified copies of academic transcripts and certificates for capital markets industry related diploma or degree; and Detailed curriculum vitae to ascertain your experience relating to Fiji's capital markets industry.
3. Propriety: <ul style="list-style-type: none"> 2 References (at least <u>one</u> should be independent); Police Clearance Report. 	<p>The two (2) references should be as follows:</p> <ul style="list-style-type: none"> 1 character reference; and 1 professional reference relating to your experience in the capital markets industry. <p>Please organise for a police clearance report and the report should be sent directly to:</p> <p style="text-align: center;"> Manager Policy & Research Financial Institutions Group Reserve Bank of Fiji Pratt Street Private Mail Bag SUVA </p>