

RESERVE BANK OF FIJI



LICENCE RENEWAL CHECKLIST

License Category:

INVESTMENT ADVISER - PRINCIPAL

FORMS & FEES
<ul style="list-style-type: none">• Complete application form:<ul style="list-style-type: none">➤ Form B5 • Payment of fees:<ul style="list-style-type: none">➤ FJD \$431.10 VIP
OTHER REQUIREMENTS
1] Maintains a paid-up capital of at least \$30,000 or higher amount (<i>attach documentary evidence</i>).
2] Has staff (inclusive of a qualified CEO) and a director who are capable of providing professional services to clients.
3] Has premises suitably located and equipped to provide satisfactory service to clients.
4] Has as shareholders and members of its board of directors such persons as the Reserve Bank considers fit and proper.
5] List of all trainings including in-house trainings provided to licensed representatives during the licensed period.
6] Complaints, inquiry, and investigations lodged against the applicant during the licence period.
7] Conditions placed upon the applicant since the last licence assessment and from on-site inspection recommendations. RBF will assess if these have been met.