## **RESERVE BANK OF FIJI**





Press Release No.: 02/2012 Phone: (679) 331 3611 Fax : (679) 330 2094

Date : 17 January 2012 E-mail: <u>rbf@reservebank.gov.fj</u>

## **Approved Capital Markets Licence Holders For 2012**

The Reserve Bank of Fiji has approved the following principal licensees and its representatives to conduct and carry on business in the capital markets industry in the categories licensed as Brokers and Dealers, Investment Advisers, Unit Trusts and Securities Exchange in the Republic of Fiji from 1 January - 31 December 2012 (both dates inclusive).

The Licence is issued in accordance with the requirements for approval and the provisions of the Capital Markets Decree 2009, Unit Trusts Act 1978, Regulations, Rules, Policies and conditions as may be stipulated by the Reserve Bank of Fiji.

NAME OF LICENSEE	TYPE OF LICENSE
A. BROKERS & DEALERS	
1) FHL Securities Limited (FHLS)	Broker & Dealer - Principal
Sowani Tuidrola	FHLS Representative
Rowena Fong	FHLS Representative
Aisea Wainiqolo	FHLS Representative
Nitesh Chand	FHLS Representative
Elenoa M. Lalabalavu	FHLS Representative
2) FijiStock Brokers Limited (FSB)	Broker & Dealer - Principal
Steven Shane Pritchard	FSB Representative
Brett Andrew Hall	FSB Representative
Giles Cameron Craig	FSB Representative
Enzo Pirillo	FSB Representative
3) Kontiki Stock Broking Limited (KSB)	Broker & Dealer - Principal
Elenoa Kaloumaira	KSB Representative
David Oliver	KSB Representative
Raghni Khatri	KSB Representative
Jack Lowenstein	KSB Representative
Griffon Emose	KSB Representative
B. INVESTMENT ADVISERS	
1) FHL Securities Limited (FHLS)	Investment Adviser -Principal
Tevita Gonelevu	FHLS Representative
Mohammed Fareed	FHLS Representative

2) FijiStock Brokers Limited (FSB)	Investment Adviser -Principal
Steven Shane Pritchard	FSB Representative
Brett Andrew Hall	FSB Representative
Giles Cameron Craig	FSB Representative
Enzo Pirillo	FSB Representative
3) Kontiki Capital Limited (KCL)	Investment Adviser -Principal
Jignesh Pala	KCL Representative
George Niumataiwalu	KCL Representative
David Oliver	KCL Representative
Griffon Emose	KCL Representative
Jack Lowenstein	KCL Representative
4) KPMG Advisory (Fiji) Limited	Investment Adviser -Principal
Bruce Sutton	KPMG Representative
Lisa Apted	KPMG Representative
5) Westpac Banking Corporation (WBC)	Investment Adviser -Principal
Deven Magan	WBC Representative
6) PricewaterhouseCoopers (PwC)	Investment Adviser -Principal
Corporate Finance Limited	
Chirk Yam	PwC Representative
Jenny Seeto	PwC Representative
Nitin Ghandhi	PwC Representative
Jerome Kado	PwC Representative
Kaushick Chandra	PwC Representative
7) Pacific Legal Network (PLN) Advisory Pty Limited	Investment Adviser -Principal
John Ridgway	Investment Adviser
Nitij Pal	Investment Adviser
8) Pradeep Patel	<b>Investment Adviser - Individual</b>
9) Nalin Patel	Investment Adviser - Individual
10) Rick Croker	<b>Investment Adviser - Individual</b>
11) Matthew G. Hill	Investment Adviser - Individual
C. UNIT TRUSTS	
1) Unit Trust of Fiji (UTOF)	Unit Trust - Principal
Vilash Chand	UTOF Representative
Gyanesh Reuben	UTOF Representative
Sakiusa Bolaira	UTOF Representative
2) Fijian Holdings Property Trust (FHPT)	Unit Trust - Principal
3) Fijian Holdings Unit Trust (FHUT)	Unit Trust - Principal
Rowena Fong	FHPT/FHUT Representative
Sowani Tuidrola	FHPT/FHUT Representative
Elenoa M. Lalabalavu	FHPT/FHUT Representative
Priscilla Greig	FHPT/FHUT Representative
Joana Tabua Saqalagilagi	FHPT/FHUT Representative
D. SECURITIES EXCHANGE	
South Pacific Stock Exchange	Securities Exchange

Members of the public are advised to consult only licensed persons when considering any investment or capital raising decisions within the capital markets.

Reserve Bank of Fiji